

Technical Notice

10-016

TO: Montréal Exchange Approved Participants (APs) and Foreign Approved Participants (FAPs)
FROM: Participant Connectivity Coordinator
SUBJECT: Important dates for the launch of Large Open Position Reporting (LOPR) system in 2011
DATE: October 29, 2010

Following the technical notice sent on August 3, 2010, the Montréal Exchange is announcing important dates for the launch of the LOPR system.

January 14, 2011: Delivery of the SOLA® Access Information Language (SAIL) LOPR Protocol Guide and SAIL LOPR Business Design Guide.

March 1, 2011: Availability of a testing environment to test the SAIL LOPR protocol. Certification for the SAIL LOPR protocol will start at this time. This certification will be mandatory for all participants who wish to submit LOPR data using the SAIL LOPR protocol. The SAIL LOPR Certification Guide will be available.

March 31, 2011: Availability of a testing environment for the submission of LOPR data using a Graphical User Interface (GUI). The LOPR Interface User Guide will be available.

May 2, 2011: Deployment of the LOPR system in production. Participants are required to do the following:

- 1- Continue the manual submission of client and proprietary accounts and their reportable open positions to the Regulatory Division (MX-R). Such manual submission is expected to be at the same frequency as currently required.
- 2- Start submitting reportable client and proprietary account profiles to MX-R using either the SAIL LOPR protocol or the LOPR GUI.
- 3- Start daily electronic submission of reportable open positions to MX-R using either the SAIL LOPR protocol or the LOPR GUI.

July 25, 2011: Production GO LIVE of the LOPR system, after which:

- 1- Manual submission of position reports will no longer be accepted.
- 2- Daily electronic submission will be mandatory.

Training information and participant support contact person(s) will be announced at a later date.

Contact Information

Please forward all questions regarding the LOPR system to lopr@m-x.ca.

We thank you for your cooperation.

Participant Connectivity Coordinator