

TO: Montréal Exchange Approved Participants (APs) and Foreign Approved Participants (FAPs)
FROM: Participant Connectivity Coordinator
SUBJECT: LOPR Project - Technical documents: UPDATE **LOPR GUI - CSV Record Layouts v1.1**
DATE: March 11, 2011

Following the technical notice #11-003 sent on February 14, 2011 that included the CSV Record Layout for LOPR version 1.0. The Montréal Exchange is now providing you with the updated version: **LOPR-MR-004E MXR LOPR CSV Record Layouts v1.1**. This document is intended for Participants who are planning to transmit the regulatory filings related to LOPR through the graphical user interface (GUI) alternative.

The **CSV Record Layout for LOPR** is to be used to define the Comma Separated Values (CSV) files that are required for the import into the Position Report Manager (GUI) for both the Account Record and the Position Record.

Below are the updates to the previous version 1.0:

Section 1:

- Added note: The semicolon character must be used as the separator.
- Added Note: The header line is mandatory, and must appear as the first line in the .csv file(s) for import of both the accounts and positions records. Refer to section 1.4, Header Samples for examples of the header line.

Table 1.1 AccountOwnerType

- Added: FMT is 'A'
- Added: MAX. LGTH is 1.

Note at the bottom of section 1.2: Modified Note

- 1: Required for Options, optional for Futures
- 2: Quantity must be positive, and Long and/or Short Quantity must be filled. If both are empty, Position will be rejected.

Added Section 1.4, Header Samples

- 1.4.1 Account Record Header
- 1.4.2 Position Record Header

Contact Information

Please forward all questions regarding the LOPR system to lopr@m-x.ca.

We thank you for your cooperation.

Participant Connectivity Coordinator