LOPR ID Request Form

User ID (assigned by Exchange):		Password (assigned by Exchange):	
Date:		**Located in** *(city & country)* :	
Name of Approved Participant or Foreign Approved Participant:			
Firm Unit:			
Name of Third Party Service Provider *(if the large open positions are to be submitted to Montréal Exchange Inc. by same)***:**			
Applicant's Last name:		**Applicant's First name:**	
Telephone:	( )	**Fax:**	( )
E-mail:			
Name of Applicant's employer:			

| **Connecting to LOPR via:** | ☐ MX LOPR GUI (If checked, please make sure to fill, submit and return the **LOPR Software License Agreement and Request Form**) |
| | ☐ PROP SYSTEM via SAIL PROTOCOL |

In order to protect the confidentiality of my Password, I am providing the following additional information that will serve as a means of verification of my identity for Montréal Exchange Inc.

Verification password:	
Authentication question:	

I, the undersigned Applicant, will take all necessary precautionary measures to ensure the security and confidentiality of the User ID and Password provided to me. I am entirely responsible for any unauthorized use of my User ID and Password.

Initial here:

I, the undersigned Applicant, do hereby depose and state that I have read and understood the questions on this form as well as the answers given by myself thereto and that the statements of facts made therein are true.

Initial here:

Dated in (location)		this		day of		20	

Signature of Applicant	Signature of Regulatory or Compliance Officer
	Name of Regulatory or Compliance Officer (Please print)
	Name of Entity

If the large open positions are to be submitted to Montréal Exchange Inc. by a Third Party Service Provider of the Approved Participant or Foreign Approved Participant, the following <u>must</u> be completed by the Approved Participant or Foreign Approved Participant and the Third Party Service Provider:

The undersigned Approved Participant/Foreign Approved Participant hereby appoints said Third Party Service Provider to act as user on its behalf for the use of the Large Open Position Reporting ("LOPR") function provided by the LOPR software.

The Approved Participant/Foreign Approved Participant agrees that the said Third Party Service Provider will be given a User ID and a Password to access the LOPR application on its behalf. The Approved Participant/Foreign Approved Participant and the Third Party Service Provider agree that responsibility arising from the use of the User ID and Password will be assumed by the Approved Participant/Foreign Approved Participant and the Third Party Service Provider according to their agreement.

Name of Approved
Participant/Foreign
Approved Participant: _____

Signature: _____

Name of Officer: _____

Date: _____

Name of Third Party

Service Provider: _____

Signature: _____

Name of Officer: _____

Date: _____